

**IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF NORTH CAROLINA
Case No. 7:19-cv-00038-D**

Southern Roots Farming Company, LLC)
Plaintiff(s),)
)
vs)
)
CFG Financial Services, LLC, et al.)
Defendant(s).)

**DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A
DIRECT FINANCIAL INTEREST IN LITIGATION**

Pursuant to Fed.R.Civ.P. 7.1 and Local Civil Rule 7.3, or Fed.R.Crim.P. 12.4 and Local Criminal Rule 12.3,

Southern Roots Farming Company, LLC who is Appellant,
(name of party) (plaintiff/defendant/other: _____)

makes the following disclosure:

1. Is party a publicly held corporation or other publicly held entity?

YES ☐

NO ☒

2. Does party have any parent corporations?

YES ☐

NO ☒

If yes, identify all parent corporation, including grandparent and great-grandparent corporations:

3. Is 10% or more of the stock of a party owned by a publicly held corporation or other publicly held entity?

YES ☐

NO ☒

If yes, identify all such owners:

4. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation (Local Civil Rule 7.3 or Local Criminal Rule 12.3)?

YES ☐

NO ☒

If yes, identify entity and nature of interest:

5. Is party a trade association?

YES ☐

NO ☒

If yes, identify all members of the association, their parent corporations, and any publicly held companies that own 10% or more of a member's stock:

6. If case arises out of a bankruptcy proceeding, identify any trustee and the members of any creditors's committee:

John C Bircher III is the "Plan Trustee" under the confirmed plan and was counsel for the creditors' committee prior to confirmation.

Signature: /s/ David F. Mills

Date: April 25, 2019

Finalize Form

Reset Form